



Tuition, Medical and Behaviour Support Service

Exclusions Policy

Adopted:	December 2020
Reviewed:	December 2021
Next Review:	December 2022
Governing Committee:	December 2020
Responsibility:	Greg Portman

Contents	Page
1. Aims	3
2. Legislation and statutory guidance	3
3. The decision to exclude.....	3
4. Definition	4
5. Roles and responsibilities.....	4
6. Considering the reinstatement of a pupil	5
7. An independent review	7
8. Service registers.....	7
9. Returning from a fixed-term exclusion.....	8
10. Monitoring arrangements	8
11. Links with other policies	8

Introduction

This policy deals with the procedures and practice which informs the Service's use of exclusion to ensure the safety and well-being of all service users and to maintain an appropriate education environment in which all can learn and succeed.

Aims

Our service aims to ensure that:

- The exclusions process is applied fairly and consistently
- The exclusions process is understood by governors, staff, parents and pupils
- Pupils in service are safe and happy
- Pupils do not become NEET (not in education, employment or training)

Legislation and statutory guidance

This policy is based on statutory guidance from the Department for Education: Exclusion from maintained services, academies and pupil referral units (PRUs) in England.

It is based on the following legislation, which outline services' powers to exclude pupils:

- Section 52 of the Education Act 2002, as amended by the Education Act 2011
- The Service Discipline (Pupil Exclusions and Reviews) (England) Regulations 2012
- Sections 64-68 of the Service Standards and Framework Act 1998

In addition, the policy is based on:

- Part 7, chapter 2 of the Education and Inspections Act 2006, which looks at parental responsibility for excluded pupils
- Section 579 of the Education Act 1996, which defines 'service day'
- The Education (Provision of Full-Time Education for Excluded Pupils) (England) Regulations 2007, as amended by The Education (Provision of Full-Time Education for Excluded Pupils) (England) (Amendment) Regulations 2014

The decision to exclude

Only the Head of Service or Deputy Head of Service, can exclude a pupil from service. A permanent exclusion will be taken as a last resort.

Our service is aware that off-rolling is unlawful. Ofsted defines off-rolling as:

"...the practice of removing a pupil from the service roll without a formal, permanent exclusion or by encouraging a parent to remove their child from the service roll, when the removal is primarily in the interests of the service rather than in the best interests of the pupil."

We are committed to following all statutory exclusions procedures to ensure that every child receives an education in a safe and caring environment.

A decision to exclude a pupil will be taken only:

- In response to serious or persistent breaches of the service's behaviour policy, **and**
- If allowing the pupil to remain in the service would seriously harm the education or welfare of others

Before deciding whether to exclude a pupil, either permanently or for a fixed period, the Head of Service will:

- Consider all the relevant facts and evidence, including whether the incident(s) leading to the exclusion were provoked
- Allow the pupil to give their version of events
- Consider if the pupil has special educational needs (SEN)

Definition

For the purposes of exclusions, service day is defined as any day on which there is a service session. Therefore, INSET or staff training days do not count as a service day.

Roles and responsibilities

Head of Service

Informing parents

The Head of Service will immediately provide the following information, in writing, to the parents of an excluded pupil:

- The reason(s) for the exclusion
- The length of a fixed-term exclusion or, for a permanent exclusion, the fact that it is permanent
- Information about parents' right to make representations about the exclusion to the governing board and how the pupil may be involved in this
- Where there is a legal requirement for the governing board to meet to consider the reinstatement of a pupil, and that parents have a right to attend a meeting, be represented at a meeting (at their own expense) and to bring a friend

The Head of Service will also notify parents by the end of the afternoon session on the day their child is excluded that for the first 5 service days of an exclusion, or until the start date of any alternative provision where this is earlier, parents are legally required to ensure that their child is not present in a public place during service hours without a good reason. Parents may be given a fixed penalty notice or prosecuted if they fail to do this.

If alternative provision is being arranged, the following information will be included when notifying parents of an exclusion:

- The start date for any provision of full-time education that has been arranged
- The start and finish times of any such provision, including the times for morning and afternoon sessions, where relevant
- The address at which the provision will take place
- Any information required by the pupil to identify the person they should report to on the first day

Where this information on alternative provision is not reasonably ascertainable by the end of the afternoon session, it may be provided in a subsequent notice, but it will be provided no later than 48 hours before the provision is due to start. The only exception to this is where alternative provision is to be provided before the sixth day of an exclusion, in which case the information can be provided with less than 48 hours' notice with parents' consent.

Informing the governing board and local authority

The Head of Service will immediately notify the governing board and the local authority (LA) of:

- A permanent exclusion, including when a fixed-period exclusion is made permanent
- Exclusions which would result in the pupil being excluded for more than 5 service days (or more than 10 lunchtimes) in a term
- Exclusions which would result in the pupil missing a public examination

For a permanent exclusion, if the pupil lives outside the LA in which the service is located, the Head of Service will also immediately inform the pupil's 'home authority' of the exclusion and the reason(s) for it without delay.

For all other exclusions, the Head of Service will notify the governing board and LA once a term.

The Governing Board

Within 14 days of receipt of a request, the governing board will provide the secretary of state and the LA with information about any exclusions in the last 12 months.

For a fixed-period exclusion of more than 5 service days, the LA will arrange suitable full-time education for the pupil. This provision will begin no later than the sixth day of the exclusion.

Provision does not have to be arranged for pupils in the final year of compulsory education who do not have any further public examinations to sit.

The LA

For permanent exclusions, the LA is responsible for arranging suitable full-time education to begin no later than the sixth day of the exclusion.

Considering the reinstatement of a pupil

The Exclusion Committee will consider the reinstatement of an excluded pupil within 15 service days of receiving the notice of the exclusion if:

- The exclusion is permanent
- It is a fixed-term exclusion which would bring the pupil's total number of service days of exclusion to more than 15 in a term
- It would result in a pupil missing a public examination

If requested to do so by parents, Exclusion Committee will consider the reinstatement of an excluded pupil within 50 service days of receiving notice of the exclusion if the pupil would be excluded from service for more than 5 service days, but less than 15, in a single term.

Where an exclusion would result in a pupil missing a public examination, Exclusion Committee will consider the reinstatement of the pupil before the date of the examination. If this is not practicable, the chair of the governing board (or the vice-chair where the chair is unable to make this consideration) will consider the exclusion independently and decide whether or not to reinstate the pupil.

Exclusion Committee can either:

- Decline to reinstate the pupil, or
- Direct the reinstatement of the pupil immediately, or on a particular date

In reaching a decision, the Exclusion Committee will consider whether the exclusion was lawful, reasonable and procedurally fair and whether the Head of Service followed their legal duties. They will decide whether or not a fact is true 'on the balance of probabilities', which differs from the criminal standard of 'beyond reasonable doubt', as well as any evidence that was presented in relation to the decision to exclude. Minutes will be taken of the meeting, and a record of evidence considered kept. The outcome will also be recorded on the pupil's educational record.

Exclusion Committee will notify, in writing, the Head of Service, parents and the LA of its decision, along with reasons for its decision, without delay.

Where an exclusion is permanent, Exclusion Committee's decision will also include the following:

- The fact that it is permanent
- Notice of parents' right to ask for the decision to be reviewed by an independent review panel, and:
 - The date by which an application for an independent review must be made
 - The name and address to whom an application for a review should be submitted
 - That any application should set out the grounds on which it is being made and that, where appropriate, reference to how the pupil's SEN are considered to be relevant to the exclusion
 - That, regardless of whether the excluded pupil has recognised SEN, parents have a right to require the LA to appoint an SEN expert to attend the review
 - Details of the role of the SEN expert and that there would be no cost to parents for this appointment
 - That parents must make clear if they wish for an SEN expert to be appointed in any application for a review
 - That parents may, at their own expense, appoint someone to make written and/or oral representations to the panel, and parents may also bring a friend to the review
- That if parents believe that the exclusion has occurred as a result of discrimination, they may make a claim under the Equality Act 2010 to the first-tier tribunal (special educational needs and disability), in the case of disability discrimination, or the county court, in the case of other forms of discrimination. A claim of discrimination made under these routes should be lodged within 6 months of the date on which the discrimination is alleged to have taken place

7. An independent review

If parents apply for an independent review, the LA will arrange for an independent panel to review the decision of the governing board not to reinstate a permanently excluded pupil.

Applications for an independent review must be made within 15 service days of notice being given to the parents by the Exclusion Committee of its decision to not reinstate a pupil.

A panel of 3 or 5 members will be constituted with representatives from each of the categories below. Where a 5-member panel is constituted, 2 members will come from the service governors category and 2 members will come from the Head of Service category.

- A lay member to chair the panel who has not worked in any service in a paid capacity, disregarding any experience as a service governor or volunteer
- Service governors who have served as a governor for at least 12 consecutive months in the last 5 years, provided they have not been teachers or Head of Services during this time
- Head of Services or individuals who have been a Head of Service within the last 5 years
- A person may not serve as a member of a review panel if they:
- Are a member of the LA or governing board of the excluding service
- Are the Head of Service of the excluding service, or have held this position in the last 5 years
- Are an employee of the LA or the governing board, of the excluding service (unless they are employed as a Head of Service at another service)
- Have, or at any time have had, any connection with the LA, service, governing board, parents or pupil, or the incident leading to the exclusion, which might reasonably be taken to raise doubts about their impartiality
- Have not had the required training within the last 2 years (see appendix 1 for what training must cover)

A clerk will be appointed to the panel.

The independent panel will decide one of the following:

- Uphold the governing board's decision
- Recommend that the governing board reconsiders reinstatement
- Quash the governing board's decision and direct that they reconsider reinstatement (only when the decision is judged to be flawed)

The panel's decision can be decided by a majority vote. In the case of a tied decision, the chair has the casting vote.

Service Registers

A pupil's name will be removed from the service admissions register if:

- 15 service days have passed since the parents were notified of the exclusion panel's decision to not reinstate the pupil and no application has been made for an independent review panel, or

- The parents have stated in writing that they will not be applying for an independent review panel

Where an application for an independent review has been made, the governing board will wait until that review has concluded before removing a pupil's name from the register.

Where alternative provision has been made for an excluded pupil and they attend it, code B (education off-site) or code D (dual registration) will be used on the attendance register.

Where excluded pupils are not attending alternative provision, code E (absent) will be used.

Returning from a fixed-term exclusion

Following a fixed-term exclusion, a re-integration meeting will be held involving the pupil, parents, a member of senior staff and other staff, where appropriate.

Monitoring arrangements

The Business Manager monitors the number of exclusions every term and reports back to the Head of Service and Governors. They also liaise with the local authority to ensure suitable full-time education for excluded pupils.

11. Links with other policies

This exclusions policy is linked to our

- Behaviour policy